



Framework Programme 7

Communicate and Interact!
Whyse

1

Minsk, May 2010

Joining the EU programmes as a Belarusian

1. Introduction to the Framework Programme 7
- 2. Focus on evaluation issues
+ exercise**
3. Strategies for Belarusian organisations
+ exercise
4. ICT 2011-2012 Work Programme
5. Information days
6. Main errors in proposals

Communicate and Interact!
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2

Minsk, May 2010

Success rate?: 20-25-30%

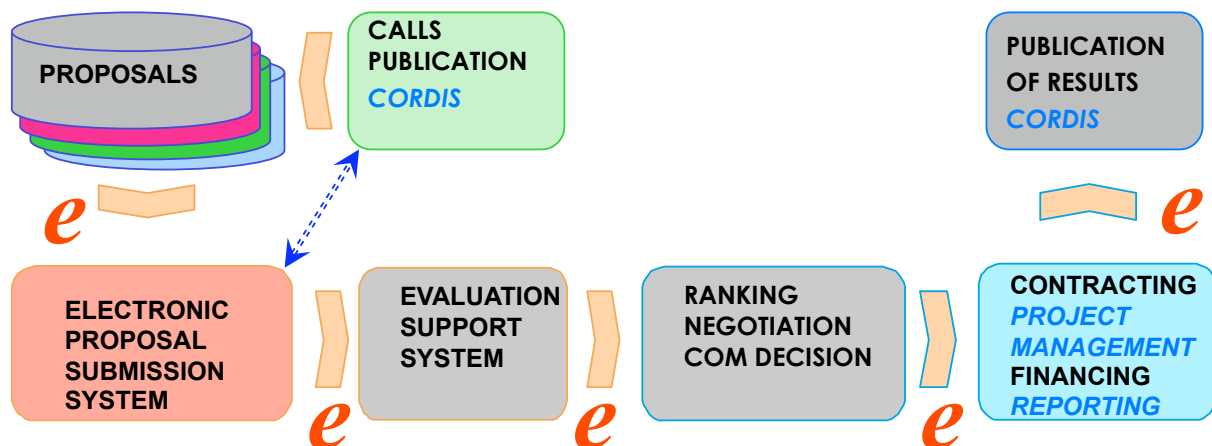


3

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The Full Cycle



4

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Pre-proposal check form (1)

Proposal acronym							
Proposal full name							
ICT Call 4 Objective addressed: <i>(as named in the call fiche)</i>							
Funding scheme <i>(please indicate one only)</i>	IP	STREP	NoE	CA	ERANET Plus	SA	
Approximate total cost <i>(optional information)</i>	€						

Summary of your proposal's objectives	
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Pre-proposal check form (2)

[illegible]


Pre-proposal check form (3)

The Commission services will reply by electronic mail giving a brief assessment of this pre-proposal. The assessment does not constitute in any respect a pre-evaluation of the proposal in terms of scientific and technical quality. The advice given by the Commission is strictly informal and non-binding. The advice provided through this pre-proposal check does not in any way engage the Commission with regard to acceptance or rejection of the proposal when it is formally submitted.

Use it

- It is free
- It will solve fundamental problems
- Out scope disaster will be eliminated

Evaluation Form (here show for a STREP)

 <p>EVALUATION FORMS</p> <p>Information and Communication Technologies ICT</p> <p>Funding scheme: Collaborative projects Small and medium-scale focused research projects (STREPs)</p> <div style="border: 1px solid black; padding: 10px; text-align: center;"> FP7-ICT-2009-4 </div>	<p>The following forms exemptify those which will be issued to independent experts employed as evaluators in the evaluation of STREP proposals received in:</p> <p style="text-align: center;">ICT call 4 (FP7-ICT-2009-4)</p> <p>In this call there will be strong competition. Therefore, edit your proposal tightly, strengthen or eliminate weak points. Put yourself in the place of an expert evaluator, refer to the evaluation criteria and procedure given in annex 2 of the Guide for Applicants. Arrange for your draft to be evaluated by experienced colleagues, use their advice to improve it before submission.</p> <p>(Note: Modified criteria definitions, emphases and a weighting scheme apply in the case of FP7 Positive objectives 8.1 and 8.2. See the ICT Workprogramme page 117-118)</p>	<p>ICT Theme</p> <p style="text-align: center;">Individual Evaluation Report for a STREP</p> <table border="1" style="width: 100%;"> <tr> <th style="width: 50%;">Proposer</th> <th style="width: 50%;">Project</th> </tr> <tr> <td> <p>1. Scientific and/or social background (relevant to the topic addressed by the call)</p> <ul style="list-style-type: none"> Relevance of project and quality of objectives Progress beyond the state of the art Quality and effectiveness of the ICT technology and associated work plan </td> <td> <p>Review (Pre-evaluation 20, Page 10)</p> </td> </tr> <tr> <td> <p>2. Feasibility and efficiency of the implementation and the management</p> <ul style="list-style-type: none"> Appropriateness of the management structure and personnel Quality and extent of monitoring of the project implementation Quality of the consortium as a whole (including complementarity, balance) Appropriateness of the duration and a publication of the results to be implemented (budget, staff, resources) </td> <td> <p>Review (Pre-evaluation 20, Page 10)</p> </td> </tr> </table>	Proposer	Project	<p>1. Scientific and/or social background (relevant to the topic addressed by the call)</p> <ul style="list-style-type: none"> Relevance of project and quality of objectives Progress beyond the state of the art Quality and effectiveness of the ICT technology and associated work plan 	<p>Review (Pre-evaluation 20, Page 10)</p>	<p>2. Feasibility and efficiency of the implementation and the management</p> <ul style="list-style-type: none"> Appropriateness of the management structure and personnel Quality and extent of monitoring of the project implementation Quality of the consortium as a whole (including complementarity, balance) Appropriateness of the duration and a publication of the results to be implemented (budget, staff, resources) 	<p>Review (Pre-evaluation 20, Page 10)</p>	<p>Individual Evaluation Report for a STREP a2</p> <p>1. Provided in past through the questionnaire, characteristics and size of project results.</p> <ul style="list-style-type: none"> Contribution of the European and/or international level, to the expected results from the work programme of the relevant research fields Appropriateness of the resources to the identification and/or exploitation of project results, and management of the evaluation activity <p>Review (Pre-evaluation 20, Page 10)</p>
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<p>FP7-ICT-2009-4</p> <p>FP7-ICT-2009-4</p> <p>FP7-ICT-2009-4</p> <p>FP7-ICT-2009-4</p>	<p>FP7-ICT-2009-4</p> <p>FP7-ICT-2009-4</p> <p>FP7-ICT-2009-4</p> <p>FP7-ICT-2009-4</p>	<p>FP7-ICT-2009-4</p> <p>FP7-ICT-2009-4</p> <p>FP7-ICT-2009-4</p> <p>FP7-ICT-2009-4</p>	<p>FP7-ICT-2009-4</p> <p>FP7-ICT-2009-4</p> <p>FP7-ICT-2009-4</p> <p>FP7-ICT-2009-4</p>						

Basic principle

Excellence. Projects selected for funding must demonstrate a high quality in the context of the topics and criteria set out in the calls.

Transparency. Funding decisions are based on clearly described rules and procedures, and applicants should receive adequate feedback on the outcome of the evaluation of their proposals.

Impartiality. All proposals submitted to a call are treated equally. They are evaluated impartially on their merits, irrespective of their origin or the identity of the applicants.

Hire an expert

Experts agree to terms and conditions of an “appointment letter”

Typically, an individual will review 6-8 proposals “remotely”....

...then spend a couple of days in Brussels

Some will participate in “hearings” with the consortia

Travel and subsistence reimbursed

Plus €450 honorarium per day

Experts sign confidentiality and conflict of interest declaration

Names published after the evaluations

Evaluation Form (here show for a STREP)



Evaluation Form (STQ)

1. Scientific and technical quality:

- Soundness of concept, and quality of objectives (objectives **challenging**, clearly **defined** and **measurable**?)
- Progress beyond the state-of-the-art
 - **novelty** of the idea, **innovation**
 - development of **individual** elements and/or of the **integration**
- Quality and effectiveness of the S/T methodology (and associated work plan)
 - proposed approach **well thought** out?
 - is the approach described with sufficient **details**?
 - is the description of the methodology **convincing**, is it suited to successfully **implement** the objectives?
 - **Have the risks been identified and are appropriate measures proposed to handle them?**



Threshold 3/5

Evaluation Form (Implementation)

2. Implementation:

- Appropriateness of the management structure and procedures
 - **contingency** plans, **conflict** resolution?
- Quality and relevant experience of the individual participants
 - are the **individuals** and individual groups of high quality?
 - do they justify the appropriate **skills/experience** to carry out the work they have been given?
- Quality of the consortium as a whole (including complementarity, balance)
 - are competences **missing**, is there an unjustified **overlap**?
 - do all partners have a real contribution to make, are they all **necessary**?
- Appropriateness of the allocation and justification of the resources to be committed (budget, staff, equipment)
 - are the partners with the **right expertise** allocated to the appropriate task?
 - are the relevant major cost items and planned resources **identified** and **justified**, are the estimates (budget & person-months) **commensurate** with the planned activities?



Threshold 3/5

Evaluation Form (Impact)

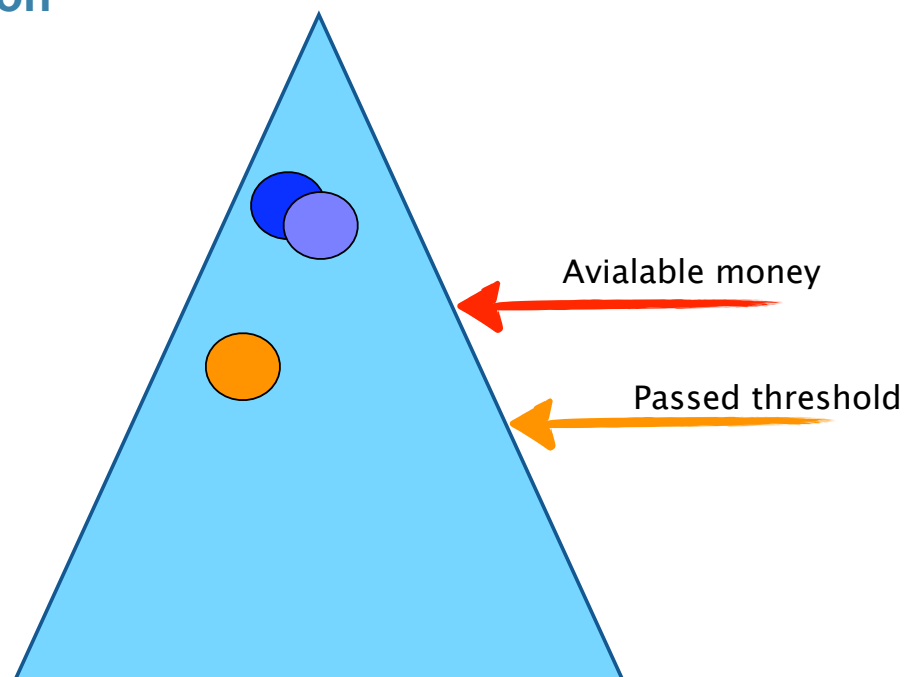
3. Impact:

- Contribution, at the European and/or international level, to the expected impacts listed in the work programme under relevant topic/activity
 - impact **after the lifetime** of the project
 - list the **expected results**
 - who are the **beneficiaries**?
 - what is the **nature** of the impact (e.g. reports, products, standards, applications ...)?
- Appropriateness of measures for the dissemination and/or exploitation of project results, and management of intellectual property.
 - is **IPR management** taken seriously, is there a proactive approach?
 - how does the consortium see its results exploited, have the partners **intentions** to further exploit their project results?



Threshold 3/5

Selection



An Evaluators Statement

- I was assigned 5 STREP projects and 4 hours per project.
- The average number of pages per proposal was 90 pages.
- I followed religiously the 3 evaluation criteria (and their sub-criteria) and wrote extensive comments (came in handy during consensus meeting)
- I was sensitive (like many other reviewers) to the following issues:
 - S&T quality: (1) Strong motivation of the work and **its relevance for the call**; (2) Measurable progress beyond the state-the-art; (3) **coherent workplan** (timing and interaction between WPs and tasks)
 - Implementation: (1) Simple management structure and procedures; (2) **balanced consortium** (enough industrial partners with **real** contribution); (3) **contingency plans** and **decisive conflict resolution** procedures; (4) **realistic** effort estimation.
 - Impact: (1) Clear contribution to the **expected impacts**; (2) Clear dissemination and exploitation path.
 - Good structure and language, visual aids and summary tables

